

EUROPEAN COMMISSION, DIRECTORATE-GENERAL, TAXATION AND CUSTOMS UNION

AEO Safety and Security Guidelines: Freight Forwarder

Section I.2.5 Safety and Security Requirements Only

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“A freight forwarder organizes the transportation of goods in international trade on behalf of an exporter, an importer or another person. In some cases, the freight forwarding applicant provides the service himself and acts as a carrier. A freight forwarder's typical activity can include: obtaining, checking and preparing documentation to meet customs requirements.”

“A freight forwarder's responsibility in the international supply chain can be regarded as follows: 1) Apply the rules on transport formalities; 2) Ensure, if relevant, a secure and safe transport of goods; and 3) Apply, if relevant, the rules on summary declarations in accordance with the legislation

1.0.0 Security Assessments

1.1.0 Self-Assessment: Suggested Assessment Questions

1.1.1 What kind of safety and security risks or dangers have you identified?

1.2.0 Internal Organization: Suggested Assessment Questions

1.2.1 How are safety and security measures coordinated within your applicant?

1.2.2 Indicate which person and/or unit of your company is responsible for this coordination.

1.3.0 Internal Control System: Suggested Assessment Questions

1.3.1 Are there existing documented security routines and how they are communicated to the personnel and other people visiting your company?

1.4.0 Internal Control Procedures: Suggested Assessment Questions

1.4.1 During the last year what type of incidents have occurred and what type of measures have resulted thereof?

1.4.2 Does the threat assessment cover these types of incidents?

1.4.3 What procedures exist for registering and reporting of incidents?

1.5.0 Safety Certification - External: Suggested Assessment Questions

1.5.1 Have you already been certified by another public agency or public body for (transport) security purposes?

1.6.0 Safety/Security Specific to Goods: Suggested Assessment Questions

1.6.1 Are there particular security and safety requirements for the goods you are importing/exporting?

1.7.0 Threat Assessments by Others: Suggested Assessment Questions

1.7.1 If you are making use of the services of a security company, has this company made a threat assessment of your company?

1.8.0 Externally Imposed Security: Suggested Assessment Questions

1.8.1 Does your insurance company impose security requirements on you?

1.8.2 Have your customers imposed security arrangements on you?

2.0.0 Entry and Access to Premises

2.1.0 Access and Entry Routines

2.1.1 In-place access control system shall be identified.

2.1.2 Only properly identified and authorized persons, vehicles and goods shall be permitted access to the premises.

2.1.3 Access to the premises shall be controlled.

2.1.4 Persons shall use badges.

2.1.5 The badges shall be issued and supervised by the applicant.

2.2.0 Intrusion Procedures

2.2.1 The applicant shall have established procedures for responding when an unauthorized intruder has been discovered on the premises (e.g. contact local police authority, involvement of internal security staff).