

EUROPEAN COMMISSION, DIRECTORATE-GENERAL, TAXATION AND CUSTOMS
UNION

AEO Safety and Security Guidelines: Carrier

Section I.2.5 Safety and Security Requirements Only

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AEO Safety and Security Guidelines: Carrier

1.0.0 SECURITY ASSESSMENTS.....	6
1.1.0 SELF-ASSESSMENT: SUGGESTED ASSESSMENT QUESTIONS.....	6
1.2.0 INTERNAL ORGANIZATION: SUGGESTED ASSESSMENT QUESTIONS	6
1.3.0 INTERNAL CONTROL SYSTEM: SUGGESTED ASSESSMENT QUESTIONS	6
1.4.0 INTERNAL CONTROL PROCEDURES: SUGGESTED ASSESSMENT QUESTIONS	6
1.5.0 SAFETY CERTIFICATION - EXTERNAL: SUGGESTED ASSESSMENT QUESTIONS.....	7
1.6.0 SAFETY/SECURITY SPECIFIC TO GOODS: SUGGESTED ASSESSMENT QUESTIONS	7
1.7.0 THREAT ASSESSMENTS BY OTHERS: SUGGESTED ASSESSMENT QUESTIONS.....	7
1.8.0 EXTERNALLY IMPOSED SECURITY: SUGGESTED ASSESSMENT QUESTIONS	7
2.0.0 ENTRY AND ACCESS TO PREMISES.....	8
2.1.0 ACCESS AND ENTRY ROUTINES	8
2.2.0 INTRUSION PROCEDURES	8
3.0.0 PHYSICAL SECURITY	9
3.1.0 EXTERNAL BOUNDARIES.....	9
3.2.0 GATES AND GATEWAYS	9
3.3.0 LOCKING DEVICES	9
3.4.0 LIGHTING	9
3.5.0 ACCESS TO KEYS	9
3.6.0 INTERNAL PHYSICAL SECURITY	10
3.7.0 PRIVATE VEHICLE PARKING	10
3.8.0 MAINTENANCE OF BOUNDARIES AND BUILDINGS	10
4.0.0 CARGO UNITS	11
4.1.0 CARGO UNIT ACCESS	11
4.2.0 CARGO UNIT INTEGRITY.....	11
4.3.0 CARGO SEALS.....	11
4.4.0 CARGO UNIT INSPECTION	11
4.5.0 INTRUSION/TAMPERING PROCEDURES	11
4.6.0 CARGO UNIT OWNERSHIP	11
4.7.0 CARGO UNIT MAINTENANCE.....	12
5.0.0 LOGISTICAL PROCESSES	13
5.1.0 ACTIVE TRANSPORTATION MEANS.....	13
6.0.0 NON-FISCAL REQUIREMENTS.....	14
6.1.0 NON-FISCAL ASPECTS.....	14
7.0.0 INCOMING GOODS	15
7.1.0 INCOMING INSPECTION ROUTINES	15
7.2.0 SECURITY PROCEDURE VERIFICATIONS	15
7.3.0 SUPERVISION OF INCOMING GOODS	15
7.4.0 SECURITY AWARENESS	16

7.5.0	INCOMING GOODS SEALS	16
7.6.0	UNIFORM MARKINGS.....	16
7.7.0	WEIGHING AND TALLYING	16
7.8.0	GOODS RECEPTION PROCESSING	16
7.9.0	INTERNAL CONTROL PROCEDURES	16
8.0.0	STORAGE OF GOODS.....	17
8.1.0	ASSIGNMENT OF STORAGE LOCATION – WHERE APPROPRIATE	17
8.2.0	INTERNAL CONTROL PROCEDURES – WHERE APPROPRIATE.....	17
8.3.0	STORAGE OF DIFFERENT GOODS – WHERE APPROPRIATE	17
8.4.0	ADDITIONAL SAFETY/SECURITY FOR ACCESS TO GOODS – WHERE APPROPRIATE.....	17
8.5.0	AUTHORIZATION LEVEL FOR STAFF CATEGORIES – WHERE APPROPRIATE.....	17
9.0.0	PRODUCTION OF GOODS	18
9.1.0	ASSIGNMENT OF LOCATION – N/A	18
9.2.0	INTERNAL CONTROL PROCEDURES – N/A.....	18
9.3.0	ADDITIONAL SAFETY/SECURITY MEASURES – N/A	18
9.4.0	AUTHORIZATION BY STAFF LEVEL – N/A	18
9.5.0	PACKING - WHERE APPROPRIATE – N/A.....	18
9.6.0	QUALITY INSPECTION - WHERE APPROPRIATE – N/A	18
10.0.0	LOADING OF GOODS	19
10.1.0	OUTBOUND CHECKING ROUTINES	19
10.2.0	VERIFICATION OF SECURITY MEASURES.....	19
10.3.0	SUPERVISION OF LOADING	19
10.4.0	SAFETY/SECURITY AWARENESS	19
10.5.0	OUTBOUND SEALS	20
10.6.0	UNIFORM MARKINGS	20
10.7.0	WEIGHING AND TALLYING.....	20
10.8.0	PROCESSES FOR LOADING GOODS.....	20
10.9.0	INTERNAL CONTROL PROCEDURES	20
11.0.0	BUSINESS PARTNER SECURITY REQUIREMENTS	21
11.1.0	EXTERNALLY IMPOSED SECURITY – IMPORT/EXPORT	21
11.2.0	EXTERNAL CONTROL PROCEDURES – IMPORT/EXPORT	21
12.0.0	PERSONNEL SECURITY REQUIREMENTS	22
12.1.0	EMPLOYMENT POLICY	22
12.2.0	SECURITY CHECKS.....	22
12.3.0	TRAINING	23
12.4.0	TEMPORARY PERSONNEL.....	23
13.0.0	EXTERNAL SERVICES SECURITY REQUIREMENTS.....	24
13.1.0	EXTERNAL SERVICES	24

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“A carrier is the person actually transporting the goods or in charge of or responsible for the operation of the means of transport.”

“A carrier's responsibility in the international supply chain can be regarded as follows: 1) Ensure a secure and safe transport of goods, in particular avoiding unauthorized access to and tampering with the means of transport and the goods being transported; 2) Provide necessary transport documentation; 3) Apply the necessary legal formalities in accordance with customs law.”

1.0.0 Security Assessments

1.1.0 Self-Assessment: Suggested Assessment Questions

1.1.1 What kind of safety and security risks or dangers have you identified?

1.2.0 Internal Organization: Suggested Assessment Questions

1.2.1 How are safety and security measures coordinated within your applicant?

1.2.2 Indicate which person and/or unit of your company is responsible for this coordination.

1.3.0 Internal Control System: Suggested Assessment Questions

1.3.1 Are there existing documented security routines and how they are communicated to the personnel and other people visiting your company?

1.4.0 Internal Control Procedures: Suggested Assessment Questions

1.4.1 During the last year what type of incidents have occurred and what type of measures have resulted thereof?

1.4.2 Does the threat assessment cover these types of incidents?

1.4.3 What procedures exist for registering and reporting of incidents?

1.5.0 Safety Certification - External: Suggested Assessment Questions

1.5.1 Have you already been certified by another public agency or public body for (transport) security purposes?

1.6.0 Safety/Security Specific to Goods: Suggested Assessment Questions

1.6.1 Are there particular security and safety requirements for the goods you are importing/exporting?

1.7.0 Threat Assessments by Others: Suggested Assessment Questions

1.7.1 If you are making use of the services of a security company, has this company made a threat assessment of your company?

1.8.0 Externally Imposed Security: Suggested Assessment Questions

1.8.1 Does your insurance company impose security requirements on you?

1.8.2 Have your customers imposed security arrangements on you?

2.0.0 Entry and Access to Premises

2.1.0 Access and Entry Routines

2.1.1 In-place access control system shall be identified.

2.1.2 Only properly identified and authorized persons, vehicles and goods shall be permitted access to the premises.

2.1.3 Access to the premises shall be controlled.

2.1.4 Persons shall use badges.

2.1.5 The badges shall be issued and supervised by the applicant.

2.2.0 Intrusion Procedures

2.2.1 The applicant shall have established procedures for responding when an unauthorized intruder has been discovered on the premises (e.g. contact local police authority, involvement of internal security staff).